## **PATENT**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE:

In re application of:

Brandt et al.

Date: January 28, 2002

Group Art Unit:

Unssigned

IBM Corporation

Examiner:

Unassigned

Intellectual Property Law

Serial No.:

Unassigned

Dept. 917, Bldg. 006-1

Filed:

Herewith

3605 Highway 52 North

Rochester, MN 55901-7829

Title: METHOD AND APPARATUS FOR

DISPLAYING HELP WINDOW

SIMULTANEOUSLY WITH WEB PAGE

PERTAINING THERETO

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicants request that the information listed on the attached Form PTO-1449 be considered by the Office during the pendency of the above entitled application, pursuant to 37 C.F.R. §1.97. In accordance with 37 C.F.R. §1.97(h), the filing of this Information Disclosure Statement shall not constitute an admission that any information cited therein is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b). In the interest of full and complete disclosure to the Office, some or all of the art cited herein may not be considered by Applicant(s) or the Undersigned to be material under the new standard of materiality defined in 37 C.F.R. §1.56(b), enacted March 16, 1992, but may be material under the old standard of materiality defined in 37 C.F.R. §1.56(a), last amended on November 28, 1988, or may merely be technical background which may be of interest to the Examiner. In accordance with 37 C.F.R.

Serial No.:

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ROC919960172US2

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§1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The references listed on the attached PTO-1449 were previously cited by or submitted to the Office in prior application serial no 08/806,136 filed on February 25, 1997. The prior application is relied upon for an earlier filing date under 35 U.S.C. §120, and thus a copy of these references is not required to be provided under 37 CFR 1.98(d).

Date: January 28, 2002

EXPRESS MAIL Mailing No. ET747205712US

Date of Deposit: January 28, 2002

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Assistant Commissioner for Patents,

(Signature)

Washington, D. C. 20231

Grant A. Johnson (Attorney Name)

Respectfully submitted,

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Docket No.:

ROC919960172US2

FORM PTO-1449 (Modified)

LIST OF PATENTS AND PUBLICATIONS FOR APPLICANTS
INFORMATION
DISCLOSURE STATEMENT

ATTY. DOCKET NO.
ROC919960172US2

APPLICANT: Brandt et al.

FILING DATE: Herewith

GROUP: Unassigned

(Use several sheets if necessary)

**EXAMINER** 

REFERENCE DESIGNATION U.S. PATENT DOCUMENTS **EXAMINER** DOCUMENT SUB-FILING DATE **INITIALS NUMBER** DATE **NAME CLASS CLASS** (IF APPRO.) AA 11/13/90 Sladowski et al. 364 900 06/28/88 06/16/92 AB Richards et al. 395 157 07/20/88 AC 6 01/12/93 Richards et al. 395 157 11/12/91 AD 9 07/11/95 Frid-Nielsen 395 161 04/26/94 ΑE 07/18/95 Matheny et al. 395 159 12/23/92 AF 07/09/96 Miller et al. 395 155 08/09/95 AG 09/17/96 Frid-Nielsen 395 159 06/06/95 AH 6 11/05/96 Judson 395 793 10/19/95 ΑI AJ FOREIGN PATENT DOCUMENTS DOCUMENT NUMBER SUB-TRANSLATION DATE COUNTRY **CLASS CLASS** YES NO W 96 29 66 A1 96.09.26 ALЮ PCT Abstract (1 Page) AN AO AP OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.) AR AS ΑT

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

DATE CONSIDERED